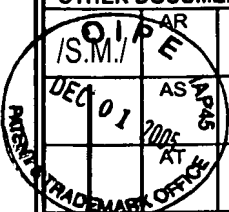


FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTY DOCKET NO: NYKJAER=1				SERIAL NO: 10/539,443			
INFORMATION DISCLOSURE STATEMENT LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT: NYKJAER, et al.							
U.S. PATENT DOCUMENTS (Include at least patentee, patent number and issue date)				FILING DATE: June 20, 2005				GROUP:			
EXAMINER INITIAL	AA	AB	AC	AD	AE	DOCUMENT NUMBER	DATE	PATENTEE	CLASS	SUB- CLASS	FILING DATE IF APPROP.
/S.M./	AA					6 3 0 0 3 2 7	October 9, 2001	Knusel et al.			
/S.M./	AB					5 5 1 6 7 7 2	May 14, 1996	Glicksman et al.			
/S.M./	AC					6 4 1 7 1 5 9	July 9, 2002	Riopelle et al.			
/S.M./	AD					6 2 9 1 2 4 7	Sept. 18, 2001	Riopelle et al.			
/S.M./	AE					20 01 00 64 6 9 5	November 29, 2001	Hadcock			
FOREIGN PATENT DOCUMENTS (Include at least document number, publication date and country)											
						DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES/NO
/S.M./	AF					0 9 9 4 1 8 8	19 th April 2000	EP			YES
OTHER DOCUMENTS (Include author, title, name of publication, volume, pages & date of publication)											
/S.M./	AG	K.E. Neet and R.B. Campenot. Receptor binding, internalization, and retrograde transport of neurotrophic factors. Cell. Mol. Life Sci. 58, 1021-1035 (2001)									
	AH	Lee et al. Regulation of cell survival by secreted proneurotrophins. Science 294, 1945-1948 (November 3, 2001)									
	AI	Moses Chao and Mark Bothwell. Neurotrophins: to cleave or not to cleave. Neuron 33, 9-12 (January 3, 2002)									
	AJ	Munck Petersen et al. Propeptide cleavage conditions sortilin/neurotensin receptor-3 for ligand binding. EMBO J. 18, 595-604 (1999)									
	AK	Hampe et al. The genes for the human VPS10 domain-containing receptors are large and contain many small exons. Human Genetics 108, 529-536 (2001)									
	AL	F.D. Miller and D.R. Kaplan. Neurotrophin signalling pathways regulating neuronal apoptosis. Cell. Mol. Life Sci. 58, 1045-1053 (2001)									
	AM	Jacobsen et al. Molecular characterization of a novel human hybrid-type receptor that binds the $\alpha 2$ -macroglobulin receptor-associated protein. J. Biol. Chem. 271, 31379-31383 (December 6, 1996)									
	AN	Petersen et al. Molecular identification of a novel candidate sorting receptor purified from human brain by receptor-associated protein affinity chromatography. J. Biol. Chem. 272, 3599-3605 (February 7, 1997)									
	AO	Nielsen et al. The sortilin cytoplasmic tail conveys Golgi-endosome transport and binds the VHS domain of the GGA2 sorting protein. EMBO J. 20, 2180-2190 (2001)									
	AP	C. Wiesmann and A.M. de Vos. Nerve growth factor: structure and function. Cell. Mol. Life Sci. 58, 748-759 (2001)									
	AQ	Rattenholl et al. The pro-sequence facilitates folding of human nerve growth factor from Escherichia coli inclusion bodies. Eur. J. Biochem. 268, 3296-3303 (2001)									
EXAMINER /Stacey Macfarlane/						DATE CONSIDERED 10/17/2007					
EXAMINER: Initial if reference considered. Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form With next communication to applicant.											

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		FILING DATE: June 20, 2005	GROUP:
OTHER DOCUMENTS (Include author, title, name of publication, volume, pages and date of publication)			
	AR	Shirayama et al. Brain-derived neurotrophic factor produces antidepressant effects in behavioral models of depression. J. Neurosci. 22, 3251-3261 (April 15, 2002)	
	AS	Lee et al. The uniqueness of being a neurotrophin receptor. Curr. Opin. Neurobiol. 11, 281-286 (2001)	
	AT	Mazella et al. The 100-kDa neurotensin receptor is gp95/sortilin, a non-G-protein-coupled receptor. J. Biol. Chem. 273, 26273-26276 (October 9, 1998)	
	AU	Barbara L. Hempstead. The many faces of p75NTR, Curr Opin. Neurobiol, 2002 Jun; 12(3):260-7	
	AV	Jacobsen et al. Activation and functional characterization of the mosaic receptor SorLA/LR11. J. Biol. Chem. 276, 22788-22796 (June 22, 2001)	
	AW	Thoenen, "the changing scene of neurotrophic factors", Trends Neurosci. 14, 165-170 (1991)	
	AX	Raffioni et al., "THE RECEPTORS FOR NERVE GROWTH FACTOR AND OTHER NEUROTROPHINS" Ann. Rev. Biochem. 62, 823-850 (1991)	
	AY	Chao, "Neurotrophin Receptors: A Window into Neuronal Differentiation", Neuron 9, 583-593 (1992)	
	AZ	Appel, S. H., "A unifying hypothesis for the cause of amyotrophic lateral sclerosis, parkinsonism, and Alzheimer's disease," Ann. Neurol. 10, 499-505 (1981)	
	BA	Duman et al., "A Molecular and Cellular Theory of Depression", Arch. Gen. Psychiatry 54, 597-606 (1997)	
BB	Fahnestock et al., "The Precursor Pro-Nerve Growth Factor is the Predominant Form of Nerve Growth Factor in Brain and is Increased in Alzheimer's Disease", Mol. Cell. Neurosci. 18, 210-220 (2001)		
BC	Nykjaer et al., "Sortilin is essential for proNGF-induced neuronal cell death", Nature Vol. 427, p843-848, 26 Feb 2004		
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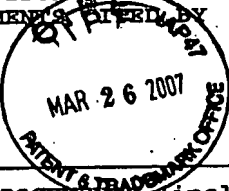
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LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT: Anders Nykjaer et al.											
		FILING DATE: June 20, 2005		GROUP:									
U.S. PATENT DOCUMENTS (include at least patentee, patent number and issue date)													
EXAMINER INITIAL		DOCUMENT NUMBER							DATE	PATENTEE	CLASS	SUB- CLASS	FILING DATE IF APPROP.
/S.M./	BD	6	3	3	3	3	1	0	December 25, 2001	Presta et al.			
/S.M./	BE	6	0	1	1	0	0	4	Jan 4, 2000	Kessler et al.			
FOREIGN PATENT DOCUMENTS (include at least document number, publication date and country)													
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES/NO
/S.M./	BF	0	0	4	4	3	9	6	3 August 2000	PCT			N/A
↓	BG	0	1	4	9	3	1	3	12 July 2001	PCT			N/A
↓	BH	9	8	4	6	2	5	4	22 October 1998	PCT			N/A
OTHER DOCUMENTS (include author, title, name of publication, volume, pages & date of publication)													
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SUBSTITUTE FOR FORM IPC/SB/08		ATTY DOCKET NO: NYKJAER, et al.		SERIAL NO: 10/539,443		
INFORMATION DISCLOSURE STATEMENT LIST OF DOCUMENTS CITED BY APPLICANT		FIRST INVENTOR: NYKJAER, Anders				
		FILING DATE: June 20, 2005				
		EXAMINER:		ART UNIT:		
U.S. PATENT DOCUMENTS (include at least patentee, patent/pub number and filing/issue/pub date)						
EXAM. INITIAL	ID	DOCUMENT NUMBER	FILING, ISSUE OR PUBLICATION DATE MM-DD-YYYY	PATENTEE OR APPLICANT	Relevant Passage(s)	T*
FOREIGN PATENT DOCUMENTS (include at least document number, publication date and country)						
EXAM. INITIAL	ID	COUNTRY CODE & DOCUMENT NUMBER	PUBLICATION DATE MM-DD-YYYY	PATENTEE OR APPLICANT	Relevant Passage(s)	T*
OTHER DOCUMENTS (include AUTHOR, title, name of publication, volume, pages & date of publication) Please list in alphabetical order.						
/S.M./	CA	NYKJAER, et al., "p75NTR - live or let die", <u>CURRENT OPINION IN NEUROBIOLOGY</u> , VOL. 15, PP. 49-57, 2005.				
EXAMINER		/Stacey Macfarlane/		DATE CONSIDERED 10/17/2007		
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INFORMATION DISCLOSURE STATEMENT LIST OF DOCUMENTS CITED BY APPLICANT 		FIRST INVENTOR: NYKJAER, Anders				
		FILING DATE: June 20, 2005				
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/S.M./	DA	02/096356	12-05-2002	Cornell Research Foundation, Inc.		E
OTHER DOCUMENTS (include AUTHOR, title, name of publication, volume, pages & date of publication) Please list in alphabetical order.						
EXAMINER /Stacey Macfarlane/				DATE CONSIDERED 10/17/2007		
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